

DIVISION OF OCCUPATIONAL AND PROFESSIONAL LICENSING
Heber M. Wells Building
160 East 300 South
PO Box 146741
Salt Lake City UT 84114-6741
Telephone: (801) 530-6628

**BEFORE THE CONSTRUCTION SERVICES COMMISSION
OF THE DEPARTMENT OF COMMERCE
OF THE STATE OF UTAH**

IN THE MATTER OF THE LICENSE OF	:	NOTICE OF AGENCY ACTION
WILLIAM ERESUMA d/b/a	:	TO REVOKE CONTRACTOR'S
ERESUMA DRYWALL	:	LICENSE FOR FAILURE TO
	:	DEMONSTRATE FINANCIAL
TO PRACTICE AS A CONTRACTOR	:	RESPONSIBILITY
IN THE STATE OF UTAH	:	(Failure to Comply
	:	with Financial Audit)
	:	
	:	
	:	Case No. DOPL-2014- <u>635</u>

THE CONSTRUCTION SERVICES COMMISSION TO WILLIAM ERESUMA
doing business as ERESUMA DRYWALL:

WILLIAM ERESUMA d/b/a
ERESUMA DRYWALL

SALT LAKE CITY UT 84106

The Division of Occupational and Professional Licensing ("the Division") hereby files this notice of agency action. The jurisdiction and authority for this action is Utah Code Annotated §58-1-401(2).

This action is filed based upon a review of the Division's records ("file") that indicate Respondent has engaged in unprofessional conduct by failing to demonstrate financial responsibility in violation of Utah Code Annotated §58-55-502(1), such that Respondent's license should be subject to disciplinary action.

ALLEGATIONS AND AUTHORITY

1. Respondent applied for renewal of its Utah contractor's

license on or about November 26, 2013.

2. A financial audit of the contractor licensee was initiated by the Division. The Respondent was advised of the financial audit, via a Notice of Audit letter that was also mailed out on or about October 15, 2014, to the address of record (attached as Exhibit A). See Utah Code Annotated, Section 58-55-306 (3) and (4) ("The division may audit the financial responsibility of an applicant or licensee on a random basis or upon finding of a reasonable need. The burden to demonstrate financial responsibility is upon the applicant, licensee, or owner...").

3. Pursuant to that audit the Division requested the Respondent to provide certain financial records and a written response.

4. Respondent was provided at least 30 days from the date of issuance of the above-mentioned Notice of Audit letter, to provide the requested documents for audit review to the Financial Auditor, and to the Division.

5. Respondent has failed to comply with the financial audit, and has failed to provide the financial information as requested so that the Division could determine if the Respondent is able to demonstrate financial responsibility from a review of the Respondent's financial history.

6. Based on the above history and failure to comply with the audit, Respondent has failed to demonstrate financial responsibility, which constitutes a basis to revoke Respondent's license pursuant to Utah Code Annotated, Section 58-1-401. See Utah Code Annotated, Section 58-1-401(1) ("The division... shall refuse to renew or shall revoked, suspend, restrict, place on probation, or otherwise at upon the license of a licensee who does not meet the qualifications for licensure under this title."); Section 58 -1-401(2)(a) ("The Division... may refuse to renew or may revoke, suspend, restrict, place on probation, issue a public reprimand to, or otherwise act upon the license of any licensee [who has]engaged in unprofessional conduct...") See also Utah Code Annotated Section 58-55-502(1) ("Unprofessional conduct includes... failing to establish, maintain, or demonstrate financial responsibility while licensed as a contractor this chapter.")

RELIEF SOUGHT

The Division seeks revocation of licensure to practice as a contractor in the State of Utah.

NOTICE OF PROCEDURES

This action is designated as an informal adjudicative proceeding ("proceeding") in §R156-46b-202 pursuant in accordance with §63G-4-202(1).

You may represent yourself or legal counsel may represent you, at your own expense, while this action is pending. If you are represented by legal counsel, your attorney must file a Notice of Appearance with the Division at the address stated below. Until an Entry of appearance is filed, the presiding officer, or others within or representing the Division, will communicate directly with you.

Dan S. Jones, Bureau Manager, is designated as the coordinator for the Construction Services Commission ("Commission"). The Commission is the presiding officer over this informal proceeding. If you or your attorney has any questions regarding the procedures related to this matter, Mr. Jones can be contacted at the above address, or via telephone at (801) 530-6720 or by e-mail at dansjones@utah.gov.

The proceeding will be conducted pursuant to §63G-4-203. There is no right to a hearing in this proceeding. The decision in this matter will be based upon a review of the Division's file.

Within ten (10) days of the mailing date of this notice, you may obtain by written request a copy of the relevant and nonprivileged contents of the Division's file related to this proceeding. Your request, if any, should be submitted to the address stated below.

Within thirty (30) days of the mailing date of this notice, you are required to file a written response herein. A response may be helpful to clarify, refine, or narrow the facts and violations related to the basis for this action as contained in the Division's file.

If you fail to file a response, it will constitute a basis for entry of default, and an order will be entered by the Presiding Officer without your participation. It will be based upon a review of the Division's file and, as deemed necessary, a review and recommendation by the Commission.

If you file a response, it will be included in the Division's file and will be considered by the presiding officer. An original of your response, if any, should be mailed or hand delivered to:

DIVISION of OCCUPATIONAL and PROFESSIONAL LICENSING
Attn: Informal Disciplinary Files

(By Mail):

PO Box 146741
Salt Lake City UT 84114-6741

(By Hand Delivery):

160 East 300 South, 4th floor
Salt Lake City, Utah

If you file a Response, you will be notified if this action is placed on the Commission's agenda. Commission meetings are normally open to the public and public comment may be provided if permitted by the chair of the Commission. However, please note that if this action is placed on the Commission's agenda, it is an agenda item, not a disciplinary hearing.

At the expiration of your deadline to submit a response, and without further notice to you, the presiding officer will make an informal review of the record in this matter and determine whether you have failed to demonstrate financial in violation of Utah Code Annotated Sections 58-55-306 and 58-55-502(1).

The maximum administrative sanction in this case is revocation of your license to practice as a contractor in the State of Utah.

Please conduct yourself accordingly.

Dated this 19 day of November, 2014.

W. Ray Walker
W. RAY WALKER
Regulatory and Compliance
Officer

